



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Derry Roopenian

Serial Number: 09/993,322

Filing Date: November 6, 2001

Title: FcRn-BASED THERAPEUTICS FOR THE TREATMENT OF AUTO-IMMUNE DISORDERS

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 11/31/02

KEVIN M. FARRELL, P.C.

*Kevin M. Farrell* 11/31/02

### TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY

BOX MISSING PARTS  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated December 10, 2001, enclosed herewith is an executed Declaration/Power of Attorney for filing in the captioned application. A copy of the Notice is attached together with a check in the amount of \$2,150.00; \$65.00 in payment of the surcharge for a Small Entity, \$370.00 in payment of the statutory basic filing fee, and \$1,715.00 in payment of the additional claim fee(s). A Verified Statement Claiming Small Entity Status is enclosed for filing as part of the above referenced patent application.

Please charge Applicant's Attorney's Deposit Account No. 06-0130 for any additional fees that may be due in this matter.

Respectfully submitted,

*Kevin M. Farrell*

Kevin M. Farrell  
Attorney for Applicant  
Registration No.: 35,505  
Telephone: (207) 363-0558

York Harbor, ME  
Date: 12/19/01

Please type a plus sign (+) inside this box



PTO SB-01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>		<b>Attorney Docket Number</b>	JL-2010		
		<b>First Named Inventor</b>	Derry Roopenian		
		<b>COMPLETE IF KNOWN</b>			
		<b>Application Number</b>	09/993,322		
		<b>Filing Date</b>	November 6, 2001		
		<b>Group Art Unit</b>	Not yet assigned		
		<b>Examiner Name</b>	Not yet assigned		
<b>Declaration</b> Submitted with Initial Filing <input type="checkbox"/> OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)					
<b>As a below named inventor, I hereby declare that:</b>  My residence, mailing address, and citizenship are as stated below next to my name.  I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: <b>FeRn-BASED THERAPEUTICS FOR THE TREATMENT OF AUTO-IMMUNE DISORDERS</b> (Title of the Invention)  the specification of which <input type="checkbox"/> is attached hereto <b>OR</b> <input checked="" type="checkbox"/> was filed on (MM/DD/YYYY) November 6, 2001 as United States Application Number or PCT International Application Number 09/993,322.  I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.  I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.  I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.					
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO	
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/> Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:					

[Page 1 of 2]

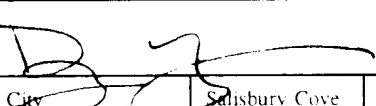
Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Washington, DC 20231.

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION - Utility or Design Patent Application							
Direct all correspondence to: <input type="checkbox"/> Customer Number _____ OR <input checked="" type="checkbox"/> Correspondence address below				or Bar Code Label _____			
Name	Kevin M. Farrell						
Address	Kevin M. Farrell, P.C. P.O. Box 999						
City	York Harbor	State	Maine	ZIP	03911		
Country	USA	Telephone	(207) 363-0558		Fax	(207) 363-0528	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Derry		Family Name or Surname		Roopenian	
Inventor's Signature 				Date 12/20/01			
Residence: City	Salisbury Cove	State	ME	Country	USA	Citizenship	US
Mailing Address		Box 29, Locust Lane					
City	Salisbury Cove	State	ME	ZIP	04672	Country	USA
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Mailing Address							
City		State		ZIP		Country	
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.							

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Derry Roopenian

Application No.: 09/993,322

Filing Date: November 6, 2001

Title: FcRn-BASED THERAPEUTICS FOR THE TREATMENT OF AUTO-IMMUNE  
DISORDERS



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as First Class Mail in an envelope  
addressed to Assistant Commissioner for Patents, Washington,

D.C. 20231 on 1/22/02

KEVIN M. FARRELL, P.C.

A handwritten signature in dark ink, appearing to read "Kevin M. Farrell", written over a horizontal line.

TRANSMITTAL OF VERIFIED STATEMENT

Commissioner for Patents

Washington, D.C. 20231

Sir:

Please file the enclosed Verified Statement Claiming Small Entity Status in the above-  
identified patent application.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Kevin M. Farrell", written over a horizontal line.

Kevin M. Farrell

Registration No. 35,505

Attorney for Applicant(s)

York Harbor, ME 03911

Dated: 12/28/01

JL:ARC 2010VS CVR

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(d)) - NONPROFIT ORGANIZATION

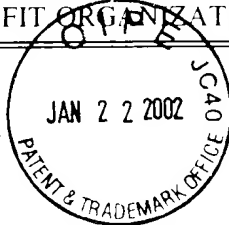
DOCKET NUMBER  
JL-2010

Applicant or Patentee: Derry Roopenian

Serial or Patent No.: 09/993,322

Filed or Issued: November 6, 2001

Title: FcRn-BASED THERAPEUTICS FOR THE TREATMENT OF AUTO-IMMUNE  
DISORDERS



I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: The Jackson Laboratory

ADDRESS OF ORGANIZATION: 600 Main Street  
Bar Harbor, ME 04609

TYPE OF NONPROFIT ORGANIZATION:

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE  
(26 USC 501(a) and 501(c)(3))
- ☒ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF  
STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE Maine)  
(CITATION OF STATUTE M.R.S.A. Title 13-B)
- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE  
(26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE  
OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED  
STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with the title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

Each person, concern or organization having any rights in the invention is listed below:

  X   no such person, concern, or organization exists.  
each such person, concern, or organization is listed below.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: David Einhorn, Esq.  
TITLE IN ORGANIZATION: House Counsel  
ADDRESS OF PERSON SIGNING: The Jackson Laboratory  
600 Main Street  
Bar Harbor, ME 04609

SIGNATURE [Signature] DATE 12.15/01